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The Technical Communications Practices of U.S. Aerospace Engineers and Scientists: Results of the Phase 1 NASA Langley Research Center Mail Survey

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# THE TECHNICAL COMMUNICATIONS PRACTICES OF U.S. AEROSPACE ENGINEERS AND SCIENTISTS: RESULTS OF THE PHASE 1 NASA LANGLEY RESEARCH CENTER MAIL SURVEY

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#### ABSTRACT

The U.S. government technical report is a primary means by which the results of federally funded research and development (R&D) are transferred to the U.S. aerospace industry. However, little is known about this information product in terms of its actual use, importance, and value in the transfer of federally funded R&D. Little is also known about the intermediary-based system that is used to transfer the results of federally funded R&D to the U.S. aerospace industry. To help establish a body of knowledge, the U.S. government technical report is being investigated as part of the NASA/DoD Aerospace Knowledge Diffusion Research Project. In this report, we summarize the literature on technical reports, present a model that depicts the transfer of federally funded aerospace R&D via the U.S. government technical report, and present the results of research that investigated aerospace knowledge diffusion vis-à-vis the technical communication practices of U.S. aerospace engineers and scientists who were assigned to the Research and Technology Group at the NASA Langley Research Center (LaRC).

### INTRODUCTION

NASA and the DoD maintain scientific and technical information (STI) systems for acquiring, processing, announcing, publishing, and transferring the results of government-performed and government-sponsored research. Within both the NASA and DoD STI systems, the U.S. government technical report is considered a primary mechanism for transferring the results of this research to the U.S. aerospace community. However, McClure (1988) concludes that we actually know little about the role, importance, and impact of the technical report in the transfer of federally funded R&D because little empirical information about this product is available.

We are examining the system(s) used to diffuse the results of federally funded aerospace R&D as part of the NASA/DoD Aerospace Knowledge Diffusion Research Project. This project investigates, among other things, the information-seeking behavior of U.S. aerospace engineers and scientists, the factors that influence the use of STI, and the role played by U.S. government technical reports in the diffusion of federally funded aerospace STI (Pinelli, Kennedy, and Barclay, 1991; Pinelli, Kennedy, Barclay, and White, 1991). The results of this investigation could (1) advance the development of practical theory, (2) contribute to the design and development of aerospace information systems, and (3) have practical implications for transferring the results of federally funded aerospace R&D to the U.S. aerospace community. The project fact sheet is Appendix A.

In this report, we summarize the literature on technical reports, provide a model that depicts the transfer of federally funded aerospace R&D through the U.S. government technical report, and present the results of the Phase 1 NASA Langley Research Center mail survey. We summarize the findings of the Phase 1 mail survey in terms of the technical communication practices of U.S. aerospace engineers and scientists who were assigned to the Research and Technology Group (RTG) at the NASA Langley Research Center.

#### THE U.S. GOVERNMENT TECHNICAL REPORT

Although they have the potential for increasing technological innovation, productivity, and economic competitiveness, U.S. government technical reports may not be utilized because of limitations in the existing transfer mechanism. According to Ballard, et al., (1986), the current system "virtually guarantees that much of the Federal investment in creating STI will not be paid back in terms of tangible products and innovations." They further state that "a more active and coordinated role in STI transfer is needed at the Federal level if technical reports are to be better utilized."

### **Characteristics of Technical Reports**

The definition of the technical report varies because the report serves different roles in communication within and between organizations. The technical report has been defined etymologically, according to report content and method (U.S. Department of Defense, 1964); behaviorally, according to the influence on the reader (Ronco, et al., 1964); and rhetorically, according to the function of the report within a system for communicating STI (Mathes and Stevenson, 1976). The boundaries of technical report literature are difficult to establish because of wide variations in the content, purpose, and audience being addressed. The nature of the report -- whether it is informative, analytical, or assertive -- contributes to the difficulty.

Fry (1953) points out that technical reports are heterogenous, appearing in many shapes, sizes, layouts, and bindings. According to Smith (1981), "Their formats vary; they might be brief (two pages) or lengthy (500 pages). They appear as microfiche, computer printouts or vugraphs, and often they are loose leaf (with periodic changes that need to be inserted) or have a paper cover, and often contain foldouts. They slump on the shelf, their staples or prong fasteners snag other documents on the shelf, and they are not neat."

Technical reports may exhibit some or all of the following characteristics (Gibb and Phillips, 1979; Subramanyam, 1981):

- Publication is not through the publishing trade.
- Readership/audience is usually limited.
- Distribution may be limited or restricted.

- Content may include statistical data, catalogs, directions, design criteria, conference papers and proceedings, literature reviews, or bibliographies.
- Publication may involve a variety of printing and binding methods.

The SATCOM report (National Academy of Sciences - National Academy of Engineering, 1969) lists the following characteristics of the technical report:

- It is written for an individual or organization that has the right to require such reports.
- It is basically a stewardship report to some agency that has funded the research being reported.
- It permits prompt dissemination of data results on a typically flexible distribution basis.
- It can convey the total research story, including exhaustive exposition, detailed tables, ample illustrations, and full discussion of unsuccessful approaches.

# History and Growth of the U.S. Government Technical Report

The development of the [U.S. government] technical report as a major means of communicating the results of R&D, according to Godfrey and Redman (1973), dates back to 1941 and the establishment of the U.S. Office of Scientific Research and Development (OSRD). Further, the growth of the U.S. government technical report coincides with the expanding role of the Federal government in science and technology during the post World War II era. However, U.S. government technical reports have existed for several decades. The Bureau of Mines Reports of Investigation (Redman, 1965/66), the Professional Papers of the United States Geological Survey, and the Technological Papers of the National Bureau of Standards (Auger, 1975) are early examples of U.S. government technical reports. Perhaps the first U.S. government publications officially created to document the results of federally funded (U.S.) R&D were the technical reports first published by the National Advisory Committee for Aeronautics (NACA) in 1917.

Auger (1975) states that "the history of technical report literature in the U.S. coincides almost entirely with the development of aeronautics, the aviation industry, and the creation of the NACA, which issued its first report in 1917." In her study, *Information Transfer in Engineering*, Shuchman (1981) reports that 75% of the engineers she surveyed used technical reports; that technical reports were important to engineers doing applied work; and that aerospace engineers, more than any other group of engineers, referred to technical reports. However, in many of these studies, including Shuchman's, it is often unclear whether U.S. government technical reports, non-U.S. government technical reports, or both are included (Pinelli, 1991a).

The U.S. government technical report is a primary means by which the results of federally funded R&D are made available to the scientific community and are added to the literature of

science and technology (President's Special Assistant for Science and Technology, 1962). McClure (1988) points out that "although the [U.S.] government technical report has been variously reviewed, compared, and contrasted, there is no real knowledge base regarding the role, production, use, and importance [of this information product] in terms of accomplishing this task." Our analysis of the literature supports the following conclusions reached by McClure:

- The body of available knowledge is simply inadequate and noncomparable to determine the role that the U.S. government technical report plays in transferring the results of federally funded R&D.
- Further, most of the available knowledge is largely anecdotal, limited in scope and dated, and unfocused in the sense that it lacks a conceptual framework.
- The available knowledge does not lend itself to developing "normalized" answers to questions regarding U.S. government technical reports.

# THE TRANSFER OF FEDERALLY FUNDED AEROSPACE R&D AND THE U.S. GOVERNMENT TECHNICAL REPORT

Three paradigms -- appropriability, dissemination, and diffusion -- have dominated the transfer of federally funded (U.S.) R&D (Ballard, et al., 1989; Williams and Gibson, 1990). Whereas variations of them have been tried within different agencies, overall Federal (U.S.) STI transfer activities continue to be driven by a "supply-side," dissemination model.

### The Appropriability Model

The appropriability model emphasizes the production of knowledge by the Federal government that would not otherwise be produced by the private sector and competitive market pressures to promote the use of that knowledge. This model emphasizes the production of basic research as the driving force behind technological development and economic growth and assumes that the Federal provision of R&D will be rapidly assimilated by the private sector. Deliberate transfer mechanisms and intervention by information intermediaries are viewed as unnecessary. Appropriability stresses the supply (production) of knowledge in sufficient quantity to attract potential users. Good technologies, according to this model, sell themselves and offer clear policy recommendations regarding Federal priorities for improving technological development and economic growth. This model incorrectly assumes that the results of federally funded R&D will be acquired and used by the private sector, ignores the fact that most basic research is irrelevant to technological innovation, and dismisses the process of technological innovation within the firm.

#### The Dissemination Model

The dissemination model emphasizes the need to transfer information to potential users and embraces the belief that the production of quality knowledge is not sufficient to ensure its fullest

use. Linkage mechanisms, such as information intermediaries, are needed to identify useful knowledge and to transfer it to potential users. This model assumes that if these mechanisms are available to link potential users with knowledge producers, then better opportunities exist for users to determine what knowledge is available, acquire it, and apply it to their needs. The strength of this model rests on the recognition that STI transfer and use are critical elements of the process of technological innovation. Its weakness lies in the fact that it is passive, for it does not take users into consideration except when they enter the system and request assistance. The dissemination model employs one-way, source-to-user transfer procedures that are seldom responsive in the user context. User requirements are seldom known or considered in the design of information products and services.

# The Knowledge Diffusion Model

The knowledge diffusion model is grounded in theory and practice associated with the diffusion of innovation and planned change research and the clinical models of social research and mental health. Knowledge diffusion emphasizes "active" intervention as opposed to dissemination and access; stresses intervention and reliance on interpersonal communications as a means of identifying and removing interpersonal barriers between users and producers; and assumes that knowledge production, transfer, and use are equally important components of the R&D process. This approach also emphasizes the link between producers, transfer agents, and users and seeks to develop user-oriented mechanisms (e.g., products and services) specifically tailored to the needs and circumstances of the user. It makes the assumption that the results of federally funded R&D will be under utilized unless they are relevant to users and ongoing relationships are developed among users and producers. The problem with the knowledge diffusion model is that (1) it requires a large Federal role and presence and (2) it runs contrary to the dominant assumptions of established Federal R&D policy. Although U.S. technology policy relies on a "dissemination-oriented" approach to STI transfer, other industrialized nations, such as Germany and Japan, are adopting "diffusion-oriented" policies which increase the power to absorb and employ new technologies productively (Branscomb, 1992; Branscomb, 1991).

# The Transfer of (U.S.) Federally-Funded Aerospace R&D

A model depicting the transfer of federally funded aerospace R&D through the U.S. government technical report appears in figure 1. The model is composed of two parts -- the informal that relies on collegial contacts and the formal that relies on surrogates, information producers, and information intermediaries to complete the "producer to user" transfer process.

When U.S. government (i.e., NASA) technical reports are published, the initial or primary distribution is made to libraries and technical information centers. Copies are sent to surrogates for secondary and subsequent distribution. A limited number of copies are set aside to be used by the author for the "scientist-to-scientist" exchange of information at the collegial level.

Surrogates serve as technical report repositories or clearinghouses for the producers and include the Defense Technical Information Center (DTIC), the NASA Center for Aero Space

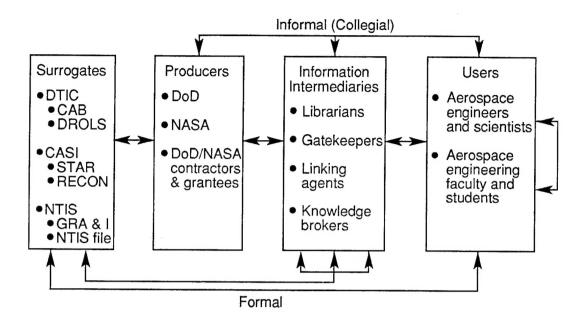


Figure 1. The U.S. Government Technical Report in a Model Depicting the Dissemination of Federally Funded Aerospace R&D.

Information (CASI), and the National Technical Information Service (NTIS). These surrogates have created a variety of technical report announcement journals such as *CAB* (Current Awareness Bibliographies), *STAR* (Scientific and Technical Aerospace Reports), and *GRA&I* (Government Reports Announcement and Index) and computerized retrieval systems such as *DROLS* (Defense RDT&E Online System), *RECON* (REsearch CONnection), and NTIS *On-line* that permit online access to technical report data bases. Information intermediaries are, in large part, librarians and technical information specialists in academia, government, and industry. Those representing the producers serve as what McGowan and Loveless (1981) describe as "knowledge brokers" or "linking agents." Information intermediaries connected with users act, according to Allen (1977), as "technological entrepreneurs" or "gatekeepers." The more "active" the intermediary, the more effective the transfer process becomes (Goldhor and Lund, 1983). Active intermediaries move information from the producer to the user, often utilizing interpersonal (i.e., face-to-face) communication in the process. Passive information intermediaries, on the other hand, "simply array information for the taking, relying on the initiative of the user to request or search out the information that may be needed" (Eveland, 1987).

The overall problem with the total Federal STI system is that "the present system for transferring the results of federally funded STI is passive, fragmented, and unfocused;" effective knowledge transfer is hindered by the fact that the Federal government "has no coherent or systematically designed approach to transferring the results of federally funded R&D to the user" (Ballard, et al., 1986). In their study of issues and options in Federal STI, Bikson and her colleagues (1984) found that many of the interviewees believed "dissemination activities were afterthoughts, undertaken without serious commitment by Federal agencies whose primary

concerns were with [knowledge] production and not with knowledge transfer;" therefore, "much of what has been learned about [STI] and knowledge transfer has not been incorporated into federally supported information transfer activities."

Problematic to the **informal** part of the system is that knowledge users can learn from collegial contacts only what those contacts happen to know. Ample evidence supports the claim that no one researcher can know about or keep up with all the research in his/her area(s) of interest. Like other members of the scientific community, aerospace engineers and scientists are faced with the problem of too much information to know about, to keep up with, and to screen. Further, information is becoming more interdisciplinary in nature and more international in scope.

Two problems exist with the **formal** part of the system. First, the **formal** part of the system employs one-way, source-to-user transmission. The problem with this kind of transmission is that such formal one-way, "supply side" transfer procedures do not seem to be responsive to the user context (Bikson, et al., 1984). Rather, these efforts appear to start with an information system into which the users' requirements are retrofit (Adam, 1975). The consensus of the findings from the empirical research is that interactive, two-way communications are required for effective information transfer (Bikson, et al., 1984).

Second, the **formal** part relies heavily on information intermediaries to complete the know-ledge transfer process. However, a strong methodological base for measuring or assessing the effectiveness of the information intermediary is lacking (Beyer and Trice, 1982). In addition, empirical data on the effectiveness of information intermediaries and the role(s) they play in knowledge transfer are sparse and inconclusive. The impact of information intermediaries is likely to be strongly conditional and limited to a specific institutional context.

According to Roberts and Frohman (1978), most Federal approaches to knowledge utilization have been ineffective in stimulating the diffusion of technological innovation. They claim that the numerous Federal STI programs are "highest in frequency and expense yet lowest in impact" and that Federal "information dissemination activities have led to little documented knowledge utilization." Roberts and Frohman also note that "governmental programs start to encourage utilization of knowledge only after the R&D results have been generated" rather than during the idea development phase of the innovation process. David (1986), Mowery (1983), and Mowery and Rosenberg (1979) conclude that successful [Federal] technological innovation rests more with the transfer and utilization of knowledge than with its production.

# THE INFORMATION-SEEKING BEHAVIOR OF ENGINEERS

The information-seeking behavior of engineers and scientists has been variously studied by information and social scientists, the earliest studies having been undertaken in the late 1960s (Pinelli, 1991b). The results of these studies have not accumulated to form a significant body of knowledge that can be used to develop a general theory regarding the information-seeking behavior of engineers and scientists. The difficulty in applying the results of these studies has

been attributed to the lack of a unifying theory, a standardized methodology, and the common definitions (Rohde, 1986).

Despite the fact that numerous "information use" studies have been conducted, the information-seeking behavior of engineers and information use in engineering are neither broadly known nor well understood. There are a number of reasons (Berul, et al., 1965): (1) many of the studies were conducted for narrow or specific purposes in unique environments such as experimental laboratories; (2) many, if not most, of them focused on scientists exclusively or engineers working in a research environment; (3) few studies have concentrated on engineers, especially engineers working in manufacturing and production; (4) from an information use standpoint, some engineering disciplines have yet to be studied; (5) most of the studies have concentrated on the users' use of information in terms of a library and/or specific information packages such as professional journals rather than how users produce, transfer, and use information; and (6) many of the studies, as previously stated, were not methodologically sophisticated and few included testable hypotheses or valid procedures for testing the study's hypotheses.

Further, we know very little about the diffusion of knowledge in specific communities such as aerospace. In the past 25 years, few studies have been devoted to understanding the information environment in which aerospace engineers and scientists work, the information-seeking behavior of aerospace engineers and scientists, and the factors that influence the use of federally funded aerospace STI. Presumably, the results of such studies would have implications for current and future aerospace STI systems and for making decisions regarding the transfer and use of federally funded aerospace STI.

# RESULTS OF THE PHASE 1 NASA LANGLEY RESEARCH CENTER MAIL SURVEY

This research was conducted as a Phase 1 activity of the NASA/DoD Aerospace Knowledge Diffusion Research Project. Survey participants consisted of U.S. aerospace engineers and scientists who were assigned to the Research and Technology Group at the NASA Langley Research Center. All of the members in the sample were employed in the government portion of U.S. aerospace. The survey instrument appears as Appendix B.

### The Survey

The questionnaire used in this study was jointly prepared by the project team and representatives from the Indiana University Center for Survey Research (CSR). The survey was pretested on a group of aerospace engineers and scientists across the country. The Indiana University staff prepared an envelope for each individual that contained an 11-page questionnaire and the cover letter. In August 1995, 517 aerospace technologists were assigned to the Research and Technology Group at the NASA Langley Research Center. A random sample of 300 were selected from the group. The envelopes were packaged and mailed to the NASA Langley

Research Center on September 1, 1995, for mailing. The envelopes were mailed from NASA LaRC on September 5, 1995.

Between September 11, 1995 and September 29, 1995, 220 usable questionnaires were returned. Five questionnaires were returned as unusable because (1) the recipient was no longer assigned to the RTG, (2) the survey was not applicable to them, or (3) the recipient was too busy to participate in the study.

By October 3, 1995, the survey cut-off date, 222 usable questionnaires had been received; the adjusted completion rate for the survey was 75%.

# **Data Collection and Analysis**

A variation of Flanagan's (1954) critical incident technique was used to guide data collection. According to Lancaster (1978), the theory behind the critical incident technique is that it is much easier for people to recall accurately what they did on a specific occurrence or occasion than it is to remember what they do in general. Respondents were asked to categorize the most important job-related projects, task, or problem they had worked on in the past 6 months. The categories included (1) research, (2) design, (3) development, (4) manufacturing, (5) production, (6) quality assurance/control, (7) computer applications, (8) management, and (9) other.

Respondents were also asked to rate the amount of technical uncertainty and complexity they faced when they started their most important project, task, or problem. Technical uncertainty and complexity were measured on 5-point scales (1.0 = little uncertainty; 5.0 = great uncertainty; 1.0 = little complexity, 5.0 = great complexity). Survey participants were also asked to indicate whether they worked alone or with others in completing/solving the most important job-related project, task, or problem they had worked on in the past 6 months.

Technical uncertainty, complexity, and the importance of federally funded aerospace R&D were measured using ordinal scales. Hours spent communicating and the number of journal articles, conference-meeting papers, and U.S. government technical reports used were measured on an interval scale. Use of formal information sources and federally funded aerospace R&D were measured using a nominal scale. Data analysis was based on 222 responses, the total number of respondents received by the established cut-off date.

### **DESCRIPTIVE FINDINGS**

Survey demographics for the 222 respondents appear in table 1. The following "composite" participant profile was developed for the respondents: works in government (100%), has a master's degree (49.1%), has an average of 19.9 years of work experience in aerospace, was educated as and works as an engineer (78%, 68%), works in research (85%), and is male (85.3%).

# Project, Task, Problem

Survey participants were asked to categorize the most important job-related project, task, or problem they had worked on in the past 6 months. The categories and responses are listed in table 2. A majority of the job-related projects, tasks, and problems (77%) were categorized as research. About 6.3% and 12.2% of the job-related projects, tasks, and problems were categorized as design/development and management, respectively. Most respondents (85.5%) worked with others (did not work alone) in completing their most important job-related project, task, or problem.

Number of Groups and Group Size. On average, respondents worked with 2.3 groups; each group contained an average of 5.2 members (table 2). A majority of respondents (58.8%) performed engineering duties while working on their most important job-related project, task, or problem. About 15% performed management duties.

<u>Project, Task, Problem Complexity and Uncertainty.</u> Respondents were asked to rate the overall complexity of their most important job-related project, task, or problem. The mean complexity score was 4.3 (of a possible 5.00). Respondents were also asked to rate the amount of technical uncertainty they faced when they started their most important project, task, or problem. The average (mean) technical uncertainty score was 3.9 (of a possible 5.00).

Correlation coefficients (Pearson's r) were calculated to compare (1) the overall "level of project, task, or problem complexity" and "technical uncertainty" and (2) the level of "project, task, or problem complexity by category" and "technical uncertainty." The correlation coefficients appear in table 3. Positive and significant correlations were found for both comparisons. These findings support the hypothesis that there is a (positive) relationship between technical uncertainty and complexity.

<u>Project, Task, or Problem and Information Use.</u> Respondents were given a list of the following information sources used to complete their most important job-related project, task, or problem: (1) used personal stores of technical information, (2) spoke with coworkers inside the organization, (3) spoke with colleagues outside of the organization, (4) spoke with a librarian/technical information specialist, (5) used literature resources in the organization's library (6) searched (or had someone search for me) an electronic (bibliographic) data base. They were

Table 1. Survey Demographics [n = 222]

Demographics	Percentage	Number
Do You Currently Work In:		
Government	100.0	222
Is Any Of Your Work Funded By The Government:	1	
Yes	98.6	217
No	1.4	3
Your Highest Level Of Education:		
No Degree		
Bachelor's Degree	14.5	32
Master's Degree	49.1	108
Doctorate	35.9	79
Other Type Of Degree	0.5	1
Your Years In Aerospace:		
0 years		
1 Through 5 Years	8.3	18
6 Through 10 Years	17.4	38
11 Through 20 Years	30.3	66
21 Through 40 Years	43.5	95
41 Or More Years	0.5	1
Mean = 19.9 Years Median = 18.0 Years		
Your Education:		
Engineer	78.2	172
Scientist	20.0	44
Other	1.8	4
Your Primary Duties:		
Engineer	68.1	150
Scientist	26.4	58
Other	5.5	12
Is Your Work Best Classified As:		
Quality Control/Assurance		
Research	85.0	187
Administration/Management	10.5	23
Design/Development	3.1	7
Manufacturing/Production		
Service/Maintenance		
Marketing/Sales		
Private Consultant		
Other	1.4	3
Your Gender:		
Female	14.7	32
Male	85.3	186

Table 2. Project, Task, or Problem Categorization

Factors	Percentage	Number
Categories Of Project, Task, Or Problem:		
Quality Assurance/Control		***
Research	77.4	171
Design/Development	6.3	14
Manufacturing/Production		
Computer Applications	2.7	6
Management	12.2	27
Other	1.4	3
Worked On Project, Task Or Problem:		
Alone	14.5	32
With Others	85.5	188
Mean Number Of Groups = $2.3$		
Mean Number of People/Group = 5.2		
Nature Of Duties Performed:		
Engineering	58.8	130
Science	24.4	54
Management	14.5	32
Other	2.3	5

Table 3. Correlation of Project Complexity and Technical Uncertainty by Type of Project, Task, or Problem

Complexity - Uncertainty Correlation	n	r
Overall <sup>a</sup>	222	0.49**
Quality Assurance/Control		
Research	170	0.47**
Design/Development	14	0.22
Manufacturing/Production		
Management	27	0.72**
Computer Applications	6	0.27
Other	3	0.50

<sup>&</sup>lt;sup>a</sup> Overall mean complexity (uncertainty) score = 4.3 (3.9) out of a possible 5.00.

asked to identify the steps they followed to obtain needed information by sequencing these items (e.g., #1,#2,#3,#4, #5, and #6). They were instructed to place an "X" beside the step(s) (i.e., information source) they did not use. The results appear in table 4.

<sup>\*\*</sup> r values are statistically significant at  $p \le 0.01$ .

Table 4. Information Sources Used to Solve Project, Task, or Problem

Information Source	Used First %	Used Second %	Used Third %	Used Fourth %	Used Fifth %	Used Sixth %	Not Used
Personal Store Of Technical							
Information	51.2	17.9	16.4	4.8	4.3	1.4	3.9
Spoke With Coworker(s)							
Inside The Organization	33.5	37.9	14.6	7.3	3.9	1.0	1.9
Spoke With Colleagues							
Outside Of The							
Organization	3.4	17.2	39.9	15.8	12.3	4.9	6.4
Used Literature Resources							
In My Organization's							
Library	3.0	15.4	12.9	26.9	12.9	8.0	20.9
Spoke With A Librarian/							
Technical Information							
Specialist	1.5	2.1	2.6	13.9	7.2	11.3	61.3
Searched (Or Had Someone							
Search For Me) An Electronic		ŀ					
(Bibliographic) Data Base	7.5	11.1	12.6	16.1	16.1	3.0	33.7

Use of Federally Funded Aerospace R&D. About 91% (201) of the participants used the results of federally funded aerospace R&D in their work. Respondents who used federally funded aerospace R&D in their work were given a list of 12 sources. They were asked to indicate how they learned about the results of federally funded aerospace R&D from each of the 12 sources (Table 5). Of the six most frequently used sources, half involve interpersonal communication and half are formal (written) communication. One of the five "federal initiatives" was the source used least to learn about the results of federally funded aerospace R&D. NASA and DoD technical reports and NASA and DoD contacts were the exception.

The respondents who reported using the results of federally funded aerospace R&D were asked if they used these results in completing the most important job-related project, task, or problem they had worked on in the past 6 months. The 84% (184) of respondents who answered "yes" were asked about the importance of these results in completing the project, task, or problem. A 5-point scale (1.0 = not at all important, 5.0 = very important) was used to measure importance. The mean importance rating was 4.4. Almost 87% of those who used federally funded R&D (160 respondents) responded with an importance rating of "4" or "5". About 77% (141) of those who used the results of federally funded aerospace R&D in completing their most important job-related project, task, or problem indicated that the results were published in either a NASA or DoD technical report.

Table 5. Sources Used to Learn About the Results of Federally Funded Aerospace R&D

Source	Percentage	Number
Professional And Society Journals	76.9	133
2. Coworkers Inside My Organization	95.5	171
3. Trade Journals	32.0	54
4. NASA And DoD Technical Reports	86.2	150
5. Colleagues Outside My Organization	81.1	142
6. NASA And DoD Contacts	83.1	143
7. Professional And Society Meetings	57.0	98
8. Searches of Computerized Data Bases	61.8	107
9. NASA And DoD Sponsored		
Conferences And Workshops	58.8	100
10. Visits To NASA And DoD Facilities	48.8	81
11. Publications Such As STAR	21.0	35
12. Librarians Inside My Organization	33.5	57

The respondents who used the results of federally funded aerospace R&D in completing their most important job-related project, task, or problem were asked which problems, if any, they encountered in using these results (see table 6). Respondents were given a list of six problems from which to choose. About 39% indicated that the "time and effort it took to locate the results" was a problem. About 38% reported that the "time and effort it took to physically obtain the results" was a problem. About 21% indicated that "accuracy, precision, and reliability of the results" was a problem, and about 16% reported that "distribution limitations or security restrictions" constituted a problem. About 18%/21% indicated that "organization or format"/"legibility or readability" of the results constituted a problem.

#### **Technical Communications Practices**

Data which describe factors concerning the production and use of technical information are summarized in table 7. Participants were asked to indicate the importance of communicating technical information effectively (e.g., producing written materials or oral discussions). A 5-point scale was used to measure importance (1.0 = not at all important; 5.0 = very important).

Importance and Time Spent. The mean importance rating was 4.9; approximately 98% of respondents indicated that it was important to communicate technical information effectively. Respondents were also asked to report the total number of hours per week they had spent communicating technical information, both in written form and orally, during the past 6 months. Respondents reported spending slightly less time on producing written materials (an average of

Table 6. Problems Related to Use of Federally-Funded Aerospace R&D

Problem	Percentage	Number
Time And Effort To Locate Results	38.6	71
Time And Effort To Obtain Results	38.0	70
Accuracy, Precision And Reliability		
Of Results	21.2	39
Distribution Limitations Or Security		
Restrictions Of Results	15.8	29
Organization Or Format Of Results	17.9	33
Legibility Or Readability Of Results	20.7	38

7.7 hours/week) than oral discussions (an average of 8.1 hours/week). Approximately 58% of the respondents indicated that the amount of time they spent communicating technical information to others had increased over the past 5 years. About 12% indicated a decrease in the amount of time spent communicating technical information to others over the same period.

Respondents were also asked to report the total number of hours per week spent working with technical information, both written and oral, received from others in the past 6 months (see table 7). Respondents reported spending slightly more time working with written technical information received from others (an average of 7.2 hours/week) than with technical information received orally from others (an average of 5.7 hours/week). Approximately 57% of the respondents indicated that, as they have advanced professionally, the amount of time spent working with technical information received from others had increased. About 10% indicated a decrease in the amount of time they spent working with technical information received from others.

Collaborative Writing. An attempt was made to determine the amount of writing in U. S. aerospace that is collaborative. Survey participants were asked to indicate the percentage of their written technical communications in the past 6 months that involved writing alone, with one other person, with a group of two to five people, and with a group of more than five people. About 25% of the survey respondents indicated that about 100% of the written technical communications they prepared involved writing alone. [The mean percent was  $(\bar{X} = 67.4)$  and the median percent was 75.0.] About 61% indicated that their written technical communications involved writing with one other person. [The mean percent was  $(\bar{X} = 16.7)$  and the median percent was 10.0.] About 50% indicated that their written technical communications involved writing with a group of two to five people. [The mean percent was  $(\bar{X} = 13.5)$  and the median percent was 0.0.] About 10% indicated that their written technical communications involved writing with a group of more than five people. [The mean percent was  $(\bar{X} = 13.5)$  and the median percent was 0.0.]

Table 7. Technical Communications: Importance, Time Spent, and Change Over Time

Communication And Receipt Of Information	Percentage	Number
Importance Of Communicating Technical Information:		
Unimportant	0.0	0
Neither important Nor Unimportant	1.8	4
Important	98.2	218
Mean = 4.9 Median = 5.0		
Time Spent Producing Written Technical Information:		
0 Hours Per Week	0.9	2
1 Through 5 Hours Per Week	46.3	101
6 Through 10 Hours Per Week	34.9	76
11 Through 15 Hours Per Week	9.6	21
16 Through 20 Hours Per Week	6.9	15
21 Or More Hours Per Week	1.4	3
Mean = 7.7 Median = 7.0	1.4	3
wican = 1.7 Ivicular = 7.0		
Time Spent Communicating Technical Information Orally:		_
0 Hours Per Week	0.5	1
1 Through 5 Hours Per Week	50.0	108
6 Through 10 Hours Per Week	29.6	64
11 Through 15 Hours Per Week	4.6	10
16 Through 20 Hours Per Week	13.4	29
21 Or More Hours Per Week	1.9	4
Mean = 8.1 Median = 5.0		
Change Over Past 5 Years In The Amount Of Time Spent		
Communicating Technical Information To Others:		
Increased	58.1	129
Stayed The Same	29.7	66
Decreased	12.2	27
Time Spent Working With Written Technical Information		
Received From Others:		
0 Hours Per Week		
1 Through 5 Hours Per Week	51.6	113
6 Through 10 Hours Per Week	36.1	79
11 Through 15 Hours Per Week	4.6	10
16 Through 20 Hours Per Week	6.4	14
21 Or More Hours Per Week	1.4	3
Mean = 7.2 Median = 5.0	1.4	3
Time Spent Working with Technical Information Received Orally From Others:		
0 Hours Per Week	0.0	2
	0.9	2
1 Through 5 Hours Per Week	69.3	147
6 Through 10 Hours Per Week	21.2	45
11 Through 15 Hours Per Week	1.9	4
16 Through 20 Hours Per Week	5.2	11
21 Or More Hours Per Week	1.4	3
Mean = 5.7 Median = 5.0		
Professional Advancement And Changes In Amount Of Time Spent Working		
With Technical Information Received From Others:	İ	
Increased	57.2	127
Stayed The Same	32.9	73
Decreased	9.9	22

Survey participants who write collaboratively were asked if they find writing as part of a group more or less productive (i.e., producing more written products or producing better written products) than writing alone. The responses appear in table 8. Overall, slightly more of the respondents indicated that writing with a group is more productive than writing alone. About 40% indicated that a group is more productive and about 22% indicated that a group is less productive. About 38% indicated that a group is about as productive as writing alone.

Table 8. Influence of Group Participation on Writing Productivity

How Productive	Percentage	Number
A Group Is More Productive Than Writing Alone A Group Is About As Productive As Writing Alone	40.1 37.7	67 63
A Group Is Less Productive Than Writing Alone	22.2	37

Survey participants were asked if, during that 6 month period, they had worked with the same group of people when producing written technical communications. About 56% (92 respondents) indicated "yes" they had worked with the same group, and about 44% indicated that they had worked with various groups. Of those who indicated that they had worked in the same group, these respondents were asked how many people were in the group. About 80% (72 respondents) indicated a group size of 2-5 people and about 8% (7 respondents) indicated a group size of 6-10 people. The mean number of people in the group was  $\bar{X} = 3.5$  and the median was 3.0.

Those 73 respondents who indicated "no," meaning that they did not work with the same group during the past 6 months, were asked with about how many groups they had worked. About 37% (26 respondents) reported working with 2 groups, about 41% (29 respondents) reported working with 3 groups, about 11% (8 respondents) reported working with 4 groups, about 3% (2 respondents) reported working with 5 groups, and about 4% (3 respondents) reported working with 6-10 groups. The average (mean) number of groups was  $\overline{X} = 2.9$  and the median number of groups was 3.0. The number of people in each group varied. About 86% of the respondents reported working with a group of 2-5 people and about 13% reported working with a group of 6-10 people. The average (mean) number of people per group was  $\overline{X} = 3.7$  and the median number of people per group was 3.0.

<u>Technical Information Products Produced</u>. Survey participants were given a list of technical information products. They were asked to indicate the number of these products they had written or otherwise prepared in the past 6 months and if those products had been written or prepared as part of a group. The 10 most frequently produced (alone) technical information products appear in table 9.

Survey participants were also asked to indicate the number of these products they had written or otherwise prepared in the past 6 months as part of a group. The 10 most frequently prepared (as part of a group) technical information products appear in table 10. Data shown in table 10

include the number of products produced (mean and median) and the average (mean and median) numbers of people per group.

Table 9. Technical Information Products Written or Produced Alone in the Past 6 Months

Products	Mean (X)	Median
Memoranda	9.2	5.0
Letters	8.2	5.0
Drawings/Specifications	4.4	2.0
Abstracts	1.6	1.0
Audio/Visual Materials	4.5	3.0
In-house Technical Reports	1.1	1.0
Computer Program Documentation	2.0	1.0
Conference/Meeting Papers	1.6	1.0
Technical Talks/Presentations	3.3	2.0
Technical Proposals	1.1	1.0

A comparison of the data contained in tables 9 and 10 reveals more similarities than differences. The production numbers vary but the products included on both lists (products produced alone or as part of a group) are essentially identical. The average numbers of people per group for the various products produced are fairly similar in size.

Survey participants were given a list of technical information products. They were asked to indicate approximately how many times in the past 6 months they had used each of them. The 10 most frequently used technical information products appear in table 11. A comparison of the data contained in tables 9 (production) and 11 (use) reveals two differences. First, on average, more products are used than are produced. Second, there are slight differences in the types or kinds of products produced and used.

### Technical Information Products -- Use, Importance, and Frequency of Use

Survey participants were asked several questions designed to obtain a greater understanding of the factors affecting the use of technical reports. In this study, technical reports were placed within the context of two technical information products: conference/meeting papers and journal articles. DoD, in-house, and NASA technical reports were included in this study.

<u>Use</u>. Survey participants were asked if they used the aforementioned technical information products in performing their present professional duties. Table 12 includes data regarding use.

Table 10. Technical Information Products Written or Produced as Part of a Group in the Past 6 Months

	In a C		Average N People Pe	
Information Products	Mean $(\overline{X})$	Median	Mean (X)	Median
Drawings/Specifications	3.6	1.0	2.9	3.0
Letters	3.2	2.0	2.2	2.0
Memoranda	3.6	1.0	2.8	2.0
Audio/Visual Material	2.4	2.0	3.5	3.0
Conference/Meeting Papers	1.5	1.0	2.9	3.0
In-house technical Reports	2.5	1.0	3.4	2.0
Technical Talks/Presentations	2.8	2.0	3.4	3.0
Abstracts	1.5	1.0	2.7	2.0
Journal Articles	1.4	1.0	2.8	3.0
Technical Proposals	1.5	1.0	3.9	3.0

Table 11. Technical Information Product Used in the Past 6 Months

Information Products	Mean (X)	Median
Journal Articles	18.8	5.0
Memoranda	13.2	6.0
Letters	13.0	5.0
Trade/Promotional Literature	11.2	5.0
Technical Manuals	9.0	3.0
Abstracts	33.7	10.0
Audio/Visual Materials	9.9	5.0
Computer Program Documentation	7.8	4.0
Conference/Meeting Papers	12.2	5.0
Technical Talks/Presentations	9.0	5.0

Table 12. Technical Information Products Used

Percentage	Number
98.2	216
96.3	211
93.0	198
67.6	140
94.4	201
	98.2 96.3 93.0 67.6

Importance. Survey participants were asked "how important is it for you to use the aforementioned technical information products in performing your present professional duties?" Table 13 includes data regarding the importance of use technical information products. A 5-point scale (1.0 = not at all important; 5.0 = very important) was used to measure importance.

Table 13. Importance of Technical Information Products

Information Products	Mean (X) Importance	Number
Conference/Meeting Papers	4.2	220
Journal Articles	4.2	220
In-house Technical Reports	3.8	217
DoD Technical reports	3.1	213
NASA Technical reports	3.9	220

Approximately 80% (176 respondents) indicated that the use of conference/meeting papers was "very or somewhat" important to their work. Approximately 78% (171 respondents) indicated that the use of journal articles was "very or somewhat" important to their work. Approximately 66% (144 respondents) indicated that in-house technical reports were "very or somewhat" important to their work. Approximately 39% (83 respondents) and 68% (149 respondents), respectively, indicated that DoD and NASA technical reports were "very or somewhat" important to their work.

<u>Frequency of Use</u>. Survey participants were asked to indicate the number of times each of the five technical information products had been used in a 6 month period in the performance of their professional duties (table 14). Data are presented both as means and medians. Journal

Table 14. Average Number of Times (Median) Technical Information Products
Used in a 6 Month Period

Information Products	Mean (X) Use	Median
Conference/Meeting Papers	12.2	5.0
Journal Articles In-house Technical Reports	18.8	5.0 3.0
DoD Technical Reports	2.7	1.0
NASA Technical Reports	5.1	4.0

articles were used ( $\overline{X} = 18.8$ ) to a much greater extent than were the other technical information products. Conference/meeting papers ( $\overline{X} = 12.2$ ) were used to a lesser extent followed by NASA ( $\overline{X} = 5.1$ ), in-house ( $\overline{X} = 4.1$ ), and DoD technical reports ( $\overline{X} = 2.7$ ).

## Technical Information Products -- Factors Affecting Use

Even if they did not use them, survey participants were asked if they were deciding whether or not to use any of the five technical information products in performing their present professional duties, how important each of the eight characteristics (factors) would be in making that decision. For example, respondents were asked to indicate how important the factor, "they are easy to physically obtain," would be in making a decision to use conference/meeting papers. A 5-point scale (1.0 = not at all important; 5.0 = very important) was used to measure importance. The higher the number, the greater the influence of the factor on the use of conference/meeting papers. An overall mean  $(\overline{X})$  rating was calculated. A mean  $(\overline{X})$  rating for users and non-users of each product is presented.

<u>Conference/Meeting Papers</u>. The importance factor ratings for conference/meeting papers appear in table 15. The factors exerting the greatest influence on use were (1) relevant to my work ( $\overline{X} = 4.8$ ), (2) good technical quality ( $\overline{X} = 4.5$ ), (3) comprehensive data and information ( $\overline{X} = 4.2$ ), (4) easy to use or read ( $\overline{X} = 4.0$ ), and (5) easy to physically obtain ( $\overline{X} = 4.0$ ).

Table 15. Factors Affecting the Use of Conference/Meeting Papers

	User Rating $(\overline{X})$	Non-User Rating $(\overline{X})$	Overall Rating $(\overline{X})$
Factors	n = 216	n = 4	n = 220
Are Easy To Physically Obtain	4.0	4.5	4.0
Are Easy To Use Or Read	4.0	4.3	4.0
Are Inexpensive	2.8	3.3	2.8
Have Good Technical Quality	4.5	4.3	4.5
Have Comprehensive Data And Information	4.2	3.8	4.2
Are Relevant To My Work	4.8	5.0	4.8
Can Be Obtained At A Nearby Location Or Source	3.6	3.3	3.6
Had Good Prior Experiences Using Them	3.4	4.0	3.4

<u>Journal Articles</u>. The importance factor ratings for journal articles appear in table 16. The factors exerting the greatest influence on use were (1) relevant to my work ( $\overline{X} = 4.8$ ), (2) good technical quality ( $\overline{X} = 4.6$ ), (3) comprehensive data and information ( $\overline{X} = 4.3$ ), (4) easy to use or read ( $\overline{X} = 4.0$ ), and (5) easy to physically obtain ( $\overline{X} = 3.9$ ).

Table 16. Factors Affecting the Use of Journal Articles

	User Rating $(\overline{X})$	Non-User Rating $(\overline{X})$	Overall Rating $(\overline{X})$
Factors	n = 211	n = 8	n = 219
Are Easy To Physically Obtain	3.9	3.6	3.9
Are Easy To Use Or Read	4.0	4.0	4.0
Are Inexpensive	2.8	2.9	2.8
Have Good Technical Quality	4.6	4.5	4.6
Have Comprehensive Data And Information	4.3	4.4	4.3
Are Relevant To My Work	4.8	4.5	4.8
Can Be Obtained At A Nearby Location Or Source	3.5	3.3	3.5
Had Good Prior Experiences Using Them	3.4	3.6	3.4

<u>In-House Technical Reports.</u> The importance factor ratings for in-house technical reports appear in table 17. The factors exerting the greatest influence on use were (1) relevant to my work ( $\overline{X} = 4.7$ ), (2) good technical quality ( $\overline{X} = 4.5$ ), (3) comprehensive data and information ( $\overline{X} = 4.3$ ), (4) easy to use or read ( $\overline{X} = 4.0$ ), (5) and easy to physically obtain ( $\overline{X} = 3.9$ ).

<u>DoD Technical Reports.</u> The importance factor ratings for DoD technical reports appear in table 18. The factors exerting the greatest influence on use were (1) relevant to my work ( $\overline{X}$  = 4.7), (2) good technical quality ( $\overline{X}$  = 4.5), (3) comprehensive data and information ( $\overline{X}$  = 4.3), (4) easy to use or read ( $\overline{X}$  = 4.0), and (5) easy to physically obtain ( $\overline{X}$  = 3.9).

Table 17. Factors Affecting the Use of In-house Technical Reports

	User Rating $(\overline{X})$	Non-User Rating $(\overline{X})$	Overall Rating $(\overline{X})$
Factors	n = 198	n = 15	n = 213
Are Easy To Physically Obtain	3.9	3.8	3.9
Are Easy To Use Or Read	4.0	3.8	4.0
Are Inexpensive	2.7	2.5	2.7
Have Good Technical Quality	4.5	3.9**	4.5
Have Comprehensive Data And Information	4.3	3.5**	4.3
Are Relevant To My Work	4.7	4.2**	4.7
Can Be Obtained At A Nearby Location	3.6	2.9	3.5
Had Good Prior Experiences Using Them	3.3	2.9	3.3

<sup>\*\*</sup> $p \le 0.01$ .

Table 18. Factors Affecting the Use of DoD Technical Reports

	User Rating (X̄)	Non-User Rating $(\overline{X})$	Overall Rating $(\overline{X})$
Factors	n = 140	n = 67	n = 207
Are Easy To Physically Obtain	3.8	3.8	3.8
Are Easy To Use Or Read	4.0	3.9	4.0
Are Inexpensive	2.6	2.9	2.7
Have Good Technical Quality	4.5	4.5	4.5
Have Comprehensive Data And Information	4.3	4.2	4.3
Are Relevant To My Work	4.7	4.6	4.6
Can Be Obtained At A Nearby Location Or Source	3.4	3.3	3.4
Had Good Prior Experiences Using Them	3.4	3.2	3.3

<u>NASA Technical Reports</u>. The importance factor ratings for NASA technical reports appear in table 19. The factors exerting the greatest influence on use were (1) relevant to my work ( $\overline{X} = 4.7$ ), (2) good technical quality ( $\overline{X} = 4.5$ ), (3) comprehensive data and information ( $\overline{X} = 4.4$ ), (4) easy to use or read ( $\overline{X} = 4.0$ ), and (5) easy to physically obtain ( $\overline{X} = 3.9$ ).

Table 19. Factors Affecting the Use of NASA Technical Reports

	User Rating (X̄)	Non-User Rating $(\overline{X})$	Overall Rating $(\overline{X})$
Factors	n = 201	n = 12	n = 213
Are Easy To Physically Obtain	3.9	4.4	3.9
Are Easy To Use Or Read	4.0	4.2	4.0
Are Expensive	2.6	3.3	2.7
Have Good Technical Quality	4.5	4.5	4.5
Having Comprehensive Data And Information	4.4	3.8*	4.3
Are Relevant To My Work	4.7	4.7	4.7
Can Be Obtained At A Nearby Location Or Source	3.5	3.6	3.5
Had Good Prior Experiences Using Them	3.4	2.8	3.4

<sup>\*</sup> $p \le 0.05$ .

### Use of Computer and Information Technology

Survey participants were asked if they use computer technology to prepare (written) technical communications. Almost all (99%) (217) of the survey respondents use computer technology to prepare (written) technical information. About 70% (154) of the respondents "always" use computer technology to prepare (written) technical information. About 98% (216) indicated that computer technology had increased their ability to communicate technical information. About 87% (192) of the respondents stated that computer technology had increased their ability to communicate technical information "a lot".

From a prepared list, survey respondents were asked to indicate which computer software they used to prepare written technical communication (table 20). Word processing software was used most frequently by survey respondents, followed by scientific graphics, spelling checkers, and desktop publishing. Outliners and prompters and business graphics were "least frequently" used to prepare written technical communication.

Table 20. Use of Computer Software to Prepare Written Technical Communication

Software	Percentage Numb	
Word Processing	97.7	211
Outliners And Prompters	10.7	16
Grammar And Style Checkers	52.3	91
Spelling Checkers	92.8	192
Thesaurus	53.5	91
Business Graphics	24.8	38
Scientific Graphics	94.7	197
Desktop Publishing	55.0	94

Survey respondents were also given a list of information technologies and asked, "How do you view your use of the following information technologies in communicating technical information?" Their choices included "already use it"; "don't use it, but may in the future"; and "don't use it and doubt if I will". (See table 21.) The aerospace engineers and scientists in this study use a variety of information technologies. The percentages of "I already use it" responses ranged from a high of 99% (electronic mail) to a low of 14% (motion picture films).

A list, in descending order, follows of the information technologies most frequently used.

Electronic Mail	99%
FAX or TELEX	98
Electronic Networks	84
Electronic Databases	72
Desktop/Electronic Publishing	66

A list, in descending order, follows of the information technologies "that are not currently being used but may be used in the future."

Laser Disk/Video Disk/CD-ROM	55%
Video Conferencing	52
Electronic Bulletin Boards	47
Micrographics and Microforms	39
Computer Cassettes/Cartridge Tapes	31

Table 21. Use, Nonuse, and Potential Use of Information Technologies

	Already Use It		Don't Use It, But May In Future		Don't Use It, And Doubt If Will	
Information Technologies	%	(n)	%	(n)	%	(n)
Audio Tapes And Cassettes	14.7	30	24.0	49	61.3	125
Motion Picture Films	13.9	29	24.0	50	62.0	129
Videotape	63.4	135	27.2	58	9.4	20
Desktop/Electronic Publishing	65.9	137	29.3	61	4.8	10
Computer Cassettes/Cartridge Tapes	40.6	82	31.2	63	28.2	57
Electronic Mail	98.6	216	1.4	3	0.0	0
Electronic Bulletin Boards	40.8	82	47.3	95	11.9	24
FAX or TELEX	97.7	213	2.3	5	0.0	0
Electronic Data Bases	71.5	153	26.2	56	2.3	5
Video Conferencing	42.4	89	52.4	110	5.2	11
Micrographics And Microforms	17.3	34	39.1	77	43.7	86
Laser Disk/Video Disk/CD-ROM	34.4	72	54.5	114	11.0	23
Electronic Networks	84.4	179	12.3	26	3.3	7

# Use and Importance of Electronic (Computer) Networks

Survey participants were asked if they use electronic (computer) networks in their workplace in performing their present duties. About 91% of the respondents use electronic networks in performing their present duties and about 9% either do not use (8.0%), or do not have access to (0.9%) electronic networks. Survey respondents used electronic networks an average of 10.6 hours per week. (See table 22.)

Table 22. Use of Electronic (Computer) Networks in One Week

Use			Percentage	Number
0 Hours 1 - 10 Hours 11 - 25 Hours 26 - 50 Hours 51 Or More Hours			2.0 69.5 18.5 9.5 0.5	4 139 37 19 1
Mean Median	10.6 5.0	,		

Respondents who use them were also asked to rate the importance of electronic (computer) networks in performing their present duties (table 23). Importance was measured on a 5-point scale with 1 = not at all important and 5 = very important. About 86% of the respondents rated electronic networks important. About 8% rated them neither important nor unimportant, and about 7% rated electronic networks as not at all important.

Table 23. Importance of Electronic (Computer) Networks

Importance	Percentage	Number
Very Important Neither Important Nor Unimportant	85.5 7.5	172 15
Not At All Important	7.0	14

Respondents were asked how they accessed electronic (computer) networks (table 24): mainframe terminal, personal computers, and workstations. Access via personal computer (73%) was most frequently reported. Access via mainframe terminal and workstation was reported by less than 50% of the survey respondents.

Table 24. How Electronic (Computer) Networks are Accessed

Access	%	(n)
Mainframe Terminal Personal Computer Workstation	4.5 73.3 48.0	9 148 97

Respondents using them were asked to indicate the purpose(s) for which they used electronic (computer) networks (table 25). Survey respondents indicated that electronic mail (99.5%), connect to geographically distant sites (78.4%), information search and retrieval using FTP (85.2%) and WWW (85.1%), and accessing/searching the library's catalog (73.0%) represented their greatest use of electronic networks. Also noticeable is the lack of electronic network use for controlling remote equipment, acquiring (ordering) documents from the library, and preparing scientific papers with colleagues at geographically distant sites.

Table 25. Use of Electronic (Computer) Networks for Specific Purposes

Purpose	Percentage	Number
Connect To Geographically Distant Sites	78.4	149
Electronic Mail	99.5	198
Electronic Bulletin Boards Or Conferences	51.1	94
Access/Search The Library's Catalog	73.0	138
Order Documents From The Library	55.4	103
Search Electronic (Bibliographic) Data Bases	64.0	121
Prepare Scientific And Papers With		
Colleagues At Geographically Distant Sites	46.7	86
For Information Search/Data Retrieval With The Following:		
FTP	85.2	161
Gopher	34.7	58
WAIS	16.5	26
World Wide Web (WWW)	85.1	166

Survey participants who used electronic (computer) networks were asked to identify the groups with whom they exchanged messages or files (table 26). An average of 97% of the survey respondents used electronic networks to exchange files with members of their own work group and others in their organization but not in their work group.

Table 26. Use of Electronic (Computer) Networks to Exchange Messages or Files

Exchange With	Percentage	Number
Members Of Own Work Group	96.0	193
Others In Your Organization But Not In Your Work Group	98.5	194
Others In Your Organization, Not In Your		
Work Group, At A Geographically		
Different Site	87.2	171
People Outside Your Work Group	92.9	183

# Use and Importance of Libraries/Technical Information Centers

Almost all of the survey respondents indicated that their organization has a library/technical information center. About 10% of the survey respondents indicated that the library/technical information center was located in the building where they worked. About 87% of the respondents indicated that the library/technical information center was located outside the building in which they worked. Three percent of the respondents reported that their organization did not have a library/technical information center.

For 64% of the respondents, the library/technical information center was located 1 mile or less from where they worked. For about 36% of the respondents, the library/technical information center was located more than one mile from where they worked.

Survey respondents were also asked if the proximity of their work setting (e.g., office to their organization's library/technical information center) affected their use of that facility (table 27). The importance of proximity was measured on a 5-point scale with 1 = not at all important and 5 = very important. About 42% of the respondents indicated that proximity was "not at all" important. About 27% indicated that proximity was neither important nor unimportant. Thirty-one percent of the respondents indicated that proximity was very important. Overall, survey respondents were about equally divided on the extent to which proximity of the work setting to the library/technical information center influence its use.

Respondents were also asked to rate the importance of the organization's library/technical information center in terms of performing their professional duties. Importance was measured on a 5-point scale with 1 = not at all important and 5 = very important (see table 28). About 83% of the aerospace engineers and scientists in the study indicated that their organization's library/technical information center was important or very important in performing their present professional duties. Approximately 13% of the survey respondents indicated that their library was neither important nor unimportant to performing their present professional duties. About 5% of respondents indicated that their organization's library/technical information center was very not at all important to performing their present professional duties.

Table 27. The Influence of Proximity of the Organization's Library/Technical Information Center on Use

Proximity		Percentage	Number	
Not At All Important Neither Important Nor Unimportant Very Important		42.0 27.3 30.6	77 50 56	
Mean Median	2.8 3.0			

Table 28. Importance of the Organization's Library/Technical Information Center to Performance of Present Professional Duties

Importance	Percentage	Number
Not At All Important Neither Important Nor Unimportant	4.9 12.6	9 23
Very Important	82.5	151

Survey respondents were asked the number of times they had used their organization's library in the past 6 months (table 29). Survey respondents used their library/technical information center about 8.7 times in the past 6 months. About 15% of the survey respondents did not use their library's library in the past 6 months. Reasons for not using the organization's library are shown in table 30. About 92% of the respondents' information needs were more easily met some other way. About 41% indicated that they had no information needs. About 23% indicated that the library did not have the information they needed.

Table 29. Use of the Organization's Library/Technical Information Center in the Past 6 Months

Number of Visits		Percentage	Number
0		14.9	32
1 - 5		43.7	94
6 - 10		20.9	45
11 - 25		13.0	28
26 - 50		6.0	13
51 - 94		0.9	2
95 or More		0.5	1
Mean	8.7		
Median	5.0		

Table 30. Reasons Respondents Did Not Use A Library During the Past 6 Months

Reason	Percentage	Number
I Had No Information Needs	40.7	11
My Information Needs Were More Easily Met		
Some Other Way	92.3	24
Tried The Library Once Or Twice Before But I		
Couldn't Find The Information I Needed	0.0	0
The Library Staff Is Not Cooperative Or Helpful	0.0	0
The Library Staff Does Not Understand My		
Information Needs	0.0	0
The Library Did Not Have The Information I Need	22.7	5
I Have My Own Personal Library And Do Not		
Need Another Library	20.8	5
The Library Is Too Slow In Getting The		
Information I Need	9.1	2
We Have To Pay To Use The Library	0.0	0
We Are Discouraged From Using The Library	0.0	0

### **FINDINGS**

Readers should note that the data contained in this report reflect the responses of U.S. aerospace engineers and scientists who are employees of the NASA Langley Research Center. The results are not generalizable to (1) U.S. aerospace engineers and scientists who are members of other professional societies, (2) all U.S. aerospace engineers and scientists, or (3) aerospace engineers and scientists employed outside of the U.S.

- 1. The "average" participant works in government (100%), has a master's degree (49.1%), has an average of 19.9 years of work experience in aerospace, was educated as and works as an engineer (78%, 68%), works in research (85%), and is male (85%).
- 2. Their most important job-related project, task, or problem worked on in the past 6 months was categorized as research (77%); 86% of the participants worked on this project, task, or problem with others. The mean number of groups involved was 2.3, and the mean number of people in a work group was 5.2. Engineering duties predominated (59%) followed by science duties (24%) in the completion of the most important job-related project, task, or problem worked on in the past 6 months.

- 3. A positive and significant correlation was found between the overall complexity and technical uncertainty of the most important job-related project, task, or problem that respondents had worked on in the past 6 months.
- 4. To complete their most important job-related project, task, or problem, respondents first went to their personal stores of technical information (51%); next, spoke with coworker(s) inside the organization (50%); third, spoke with colleagues outside of the organization (40%); fourth, used literature resources in the organization's library (27%); fifth, searched an electronic database (16%), and sixth, spoke with a librarian/technical information specialist (11%). About 61% and 54%, respectively, did not speak to a librarian or search (or have searched) electronic data bases to complete their most important job-related project, task, or problem.
- 5. Approximately 91% of the respondents reported using the results of federally funded aerospace R&D in their work. Of the six sources most frequently used to find out about the results of federally funded aerospace R&D, half involve interpersonal communication and half are formal (written) communication. One of five "federal initiatives" was the source used least to learn about the results of federally funded aerospace R&D.
- 6. About 84% of the respondents had used the results of federally funded aerospace R&D to complete their most important job-related project, task, or problem during the last 6 months. About 87% of this group indicated that federally funded aerospace R&D was "important" or "very important" for completing this work. About 77% (141) of those who used the results of federally funded aerospace R&D in completing their most important job-related project, task, or problem indicated that the results were published in either a NASA or DoD technical report.
- 7. Of the respondents who used the results of federally funded aerospace R&D in completing their most important job-related project, task, or problem, 39% indicated that the "time and effort it took to locate the results" was a problem, and 38% reported that the "time and effort it took to obtain the results" was a problem.
- 8. About 98% of the respondents indicated that it was important to communicate technical information effectively; respondents spent an average of 7.7 hours per week producing written material and 8.1 hours per week communicating information orally. Over the past 5 years approximately 58% have increased the amount of time they spend communicating information to others. Survey respondents reported spending an average of 7.2 hours per week working with written information received from others and an average of 5.7 hours per week working with information received orally from others. More than 57% of the respondents indicated that the amount of time they spend working with technical information received from others has increased as they have advanced professionally.
- 9. About 25% of the respondents reported that all of the written technical communications they prepared involved writing alone. About 61% indicated that their written technical communications involved writing with one other person. About 50% indicated that their written technical

communications involved writing with a group of two to five people. About 10% indicated that their written technical communications involved writing with a group of more than five people.

- 10. In terms of the perceived productivity of collaborative writing, slightly more of the respondents indicated that writing with a group is more productive than writing alone. About 40% indicated that a group is more productive and about 22% indicated that a group is less productive. About 38% indicated that a group is about as productive as writing alone.
- 11. A comparison of the technical information products produced and used reveals that on average, the survey respondents used more products than they produce. There are also slight differences in the types of technical information products produced and used.
- 12. Survey respondents were asked to indicate their use of and the importance to them of five technical information products. Journal articles were used most frequently  $(\bar{X} = 18.8)$  and, along with conference/meeting papers, were rated most important  $(\bar{X} = 4.2)$ . DoD and NASA technical reports were used by about 68% and 95% of the respondents and the mean importance ratings were 3.1 and 3.9 respectively.
- 13. Both users and non-users of the five information products were asked to indicate about the importance of eight factors in deciding whether to use any of the five information products. Overall, the factors exerting the greatest influence on decisions to use products follow.

Conference/meeting papers -- (1) relevant to my work, (2) good technical quality, (3) comprehensive data and information, (4) easy to use or read, and (5) easy to physically obtain.

Journal articles -- (1) relevant to my work, (2) good technical quality, (3) comprehensive data and information, (4) easy to use or read, and (5) easy to physically obtain.

In-house technical reports -- (1) relevant to my work, (2) good technical quality, (3) comprehensive data and information, (4) easy to use or read, and (5) easy to physically obtain.

DoD technical reports -- (1) relevant to my work, (2) good technical quality, (3) comprehensive data and information, (4) easy to use or read, and (5) easy to physically obtain.

NASA technical reports -- (1) relevant to my work, (2) good technical quality, (3) comprehensive data and information, (4) easy to use or read, and (5) easy to physically obtain.

- 14. About 99% of the survey participants used computer technology to prepare written technical communications; about 98% of them indicated that computer technology had increased their ability to communicate technical information.
- 15. Word processing and scientific graphics were the computer software used most often in preparing written technical information.

- 16. Electronic mail, FAX or TELEX, electronic networks, electronic data bases, and Desktop. Electronic Publishing were used most frequently by survey respondents.
- 17. About 91% of the survey participants used electronic networks in performing their present professional duties; they use electronic networks an average of 10.6 hours per week; and about 86% rated them important in terms of performing their present professional duties.
- 18. About 73% of the respondents access electronic networks via personal computer; about 100% use electronic networks for electronic mail.
- 19. Survey respondents (83%) indicated that the organization's library/technical information center was important in performing their present professional duties.
- 20. On average, survey respondents visited their organization's library/technical information center 8.7 times in a 6 month period; survey respondents indicated that the proximity of the work setting to the organization's library/technical information center did not influence its use.
- 21. The most common reasons for not using the organization's library/technical information center included "my information needs were more easily met some other way," "I had no information needs," and "the library did not have the information I needed."

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## APPENDIX A: PROJECT FACT SHEET

# NASA/DoD AEROSPACE KNOWLEDGE DIFFUSION RESEARCH PROJECT

#### **Fact Sheet**

The process of producing, transferring, and using scientific and technical information (STI), which is an essential part of aerospace research and development (R&D), can be defined as Aerospace Knowledge Diffusion. Studies tell us that timely access to STI can increase productivity and innovation and help aerospace engineers and scientists maintain and improve their professional skills. These same studies indicate, however, that we know little about aerospace knowledge diffusion or about how aerospace engineers and scientists find and use STI. To learn more about this process, we have organized a research project to study knowledge diffusion. Sponsored by NASA and the Department of Defense (DoD), the NASA/DoD Aerospace Knowledge Diffusion Research Project is being conducted by researchers at the NASA Langley Research Center, the Indiana University Center for Survey Research, and Rensselaer Polytechnic Institute. This research is endorsed by several aerospace professional societies including the AIAA, RAeS, and DGLR and has been sanctioned by the AGARD and AIAA Technical Information Panels.

This 4-phase project is providing descriptive and analytical data about the flow of STI at the individual, organizational, national, and international levels. It is examining both the channels used to communicate STI and the social system of the aerospace knowledge diffusion process. Phase 1 investigates the information-seeking habits and practices of U.S. aerospace engineers and scientists, in particular their use of government-funded aerospace STI. Phase 2 examines the industry-government interface and emphasizes the role of the information intermediary in the knowledge diffusion process. Phase 3 concerns the academic-government interface and emphasizes the information intermediary-faculty-student interface. Phase 4 explores the information-seeking behaviors of non-U.S. aerospace engineers and scientists from Western European nations, India, Israel, Japan, and the former Soviet Union.

The results of this research project will help us to understand the flow of STI at the individual, organizational, national, and international levels. The findings can be used to identify and correct deficiencies; to improve access and use; to plan new aerospace STI systems; and should provide useful information to R&D managers, information managers, and others concerned with improving access to and utilization of STI. These results will contribute to increasing productivity and to improving and maintaining the professional competence of aerospace engineers and scientists. The results of our research are being shared freely with those who participate in the study.

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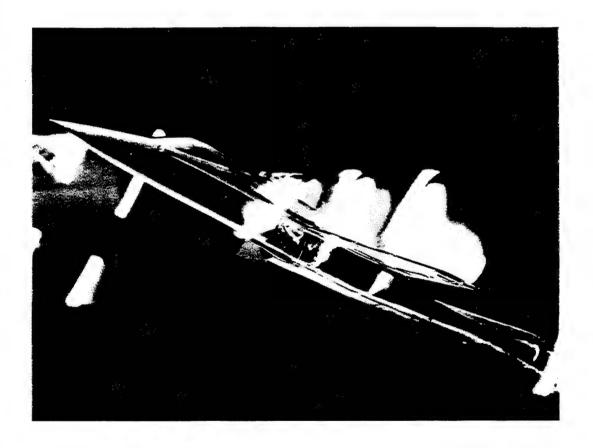
# APPENDIX B: Larc Questionnaire

PHASE 1 OF THE

NASA/DOD AEROSPACE KNOWLEDGE

DIFFUSION RESEARCH PROJECT

The Relationship Between Technical Uncertainty and Information Use by U.S. Aerospace Engineers and Scientists
An Industry-Government Comparison



SPONSORED BY THE NATIONAL AERONAUTICS AND SPACE ADMINISTRATION AND THE DEPARTMENT OF DEFENSE WITH THE COOPERATION OF INDIANA UNIVERSITY

The fi	rst group of questions ask about your use of technical information.
1.	In your work, how important is it for you to communicate (e.g., produce written materials or oral discussions) technical information effectively? (Circle number)
	Not at all important 1 2 3 4 5 Very Important
2.	In the past 6 months, about how many hours did you spend each week communicating (producing) technical information?
	(Output) hours per week writing hours per week communicating orally
3.	Compared to 5 years ago, how has the amount of time you spend <i>communicating</i> technical information changed? (Circle ONE number)
	<ul> <li>1 Increased</li> <li>2 Stayed the same</li> <li>3 Decreased</li> </ul>
4.	In the past 6 months, about how many hours did you spend each week working with technical information received from others?
	(Input) hours per week working with written information hours per week receiving information orally
5.	As you have advanced professionally, how has the amount of time you spend working with technical information received from others changed? (Circle ONE number)
	1 Increased 2 Stayed the same 3 Decreased
6.	In the past 6 months, about what percentage of your written technical communications involved:
	Writing alone $\%$ (If 100%, go to question 9.)  Writing with one other person $\%$ Writing with a group of 2 to 5 people $\%$ Writing with a group of more than 5 people $\%$ 100 $\%$
7.	In general, do you find writing as part of a group more or less productive (i.e., producing more written products or better written products) than writing alone? (Circle ONE number)
	A group is less productive than writing alone A group is about as productive as writing alone A group is more productive than writing alone Difficult to judge: no experience preparing technical information

- 2 Indicate to Judge, he emperioned proparing securious indemnation
- 8. In the past 6 months, did you work with the same group of people when producing written technical information? (Circle ONE number)
  - Yes About how many people were in the group? number of people number of groups

    With about how many groups did you work? number of groups

About how many people were in each group? number of people \_\_\_\_\_

		Times Wrote or	Prepared in Past 6 Mon	ths
				Average Number of
		Alone	In a Group	People in Group
	a. Abstracts			
	b. Journal Articles			
	c. Conference/Meeting Papers			
	d. Trade/Promotional Literature			<del></del>
	e. Drawings/Specifications  f. Audio/Visual Materials	. ———		
	g. Letters			
	h. Memoranda			
	i. Technical Proposals			
	i. Technical Manuals			
	k. Computer Program Documentation			
	In-house Technical Reports			
	m. DoD Technical Reports			
	n. NASA Technical Reports			
	o. Technical Talks/Presentations			
10.	Approximately how many times in the parduties?		use the following as par Used in Past 6 Months	
	a. Abstracts			
	b. Journal Articles			
	c. Conference/Meeting Papers			
	d. Trade/Promotional Literature			
	e. Drawings/Specifications  f. Audio/Visual Materials			
	g. Letters		<del></del>	
	h. Memoranda		-	
	i. Technical Proposals			
	j. Technical Manuals			
	k. Computer Program Documentation			
	l. In-house Technical Reports			
	m. DoD Technical Reports			
	n. NASA Technical Reports			
	o. Technical Talks/Presentations			
Next,	a few questions about computer use.			
11.	Do you use computer technology to prep	are technical inform	nation? (Circle ONE nu	mber)
	1 Always			
	2 Usually → Go to	question 12		
	3 Sometimes			
	4 Never Go to	question 14		
12.	Has computer technology increased your (Circle ONE number)	ability to communi	cate technical information	on?
	1 Yes, a lot			
	2 Yes, a little			

13.	Do you use any of the following soft number for each)	tware to prepar	e written technical in	nformation? (Circle the a	ppropriate
		<b>V</b>	NT.		
	***	Yes	No		
	Word processing packages		2		
	Outliners and prompters		2		
	Grammar and style checkers	1	2		
	Spelling checkers		2		
	Thesaurus		2		
			2		
	Business graphics				
	Scientific graphics  Desktop publishers		2 2		
14.	How do you view your USE of t technical information? (Circle the a			n technologies in comm	nunicating
			Don't use	Don't use	
		Already	but may in	and doubt	
	Information Technologies	Use	the future	if I will	
	Audio tapes and cassettes	1	2	3	
	Motion picture films	1	2	3	
	Video tape		2	3	
	Desktop/electronic publishing		2	3	
	Computer cassette/cartridge tapes .		2	3	
	Electronic mail		2	3	
	Electronic bulletin boards		2	3	
	FAX or TELEX		2	3	
	Electronic data bases		2	3	
	Video conferencing		2	3	
	Micrographics and microforms		2	3	
	Laser disc/video disc/CD-ROM	1	2	3	
	Electronic networks	1	2	3	
15.	At your workplace, do you use elect (Circle ONE number)	tronic network	s in performing your	present duties?	
	1 Yes —		Go to que	stion 16	
	2 No				
	No, because I do not have access to electronic network	ks	Go to que	estion 21	
16.	At your workplace, how do you acc	ess electronic i	networks? (Circle al	l that apply)	
			•	•••	
	<ol> <li>By using a mainframe term</li> <li>By using a personal compute</li> </ol>				
	By using a workstation	ie.			
17.	How important is the use of electron  Not at all important 1 2			esent duties? (Circle nu	mber)
18.	In the past week, about how many h	ours did you l	USE your electronic	networks?	
	Hours in the past week				

9. D	o you use electronic networks for the following purposes? (Circle ap	ргоргіате по	imber for each)
		Yes	No
;	To connect to geographically distant sites	1	2
:	Propredectronic mail	1	2
;	For electronic bulletin boards or conferences	1	2
4	To access/search the library's catalogue	1	2
;	To order documents from the library	1	2
(		1	2
•	To prepare scientific and technical papers with		
8	colleagues at geographically distant sites	1	2
	FTP	1	2
	Gopher	1	2
	WAIS	1	2
	World Wide Web (WWW)	1	2
Đ	you USE electronic networks to communicate with:		
		Yes	No
М	embers of your work group	1	2
O	her people in your organization at the SAME geographical		
	ite who are NOT in your work group	1	2
	her people in your organization at geographically		
	DIFFERENT sites who are NOT in your work group		2 2
re	ople outside your work group		2
	also like to know about your use of a library or technical information coes your organization/company have a library/technical information coessions.		
1	Yes, in my building ———— Go to question 22		
2		ninute walk	→ Go to question 2
3	No → Go to question 26		
In	the past 6 months, how often did you USE your organization's libra	ry/technical	information center?
_	Number of times in past 6 months		
If	"0" times or you did not use your organization's library, go to q	uestion 25.	
To	what extent does the proximity of your work setting (e.g., office) to you	our organiza	tion's library/technic
	formation center affect your use of it? (Circle ONE number)		
N	ot at all important 1 2 3 4 5 V	ery Importa	nt
	terms of performing your present professional duties, how in prary/technical information center? (Circle ONE number)	mportant is	your organization
N	ot at all important 1 2 3 4 5 V	ery Importa	nt→Go to question 2

25. Which of the following statements describe your reasons for not using a library during the past 6 months? (Circle appropriate number for each)

	Yes	No
I had no information needs	. 1	2
My information needs were more easily met some other way	. 1	2
Tried the library once or twice before but I couldn't		
find the information I needed	. 1	2
The library staff is not cooperative or helpful	. 1	2
The library staff does not understand my information needs	. 1	2
The library did not have the information I needed	. 1	2
The library is too slow in getting the information I need	. 1	2
I have my own personal library and do not need another library	. 1	2
We have to pay to use the library	. 1	2
We are discouraged from using the library	. 1	2

## Please tell us about your use of specific information products.

26. Do you use the following information products in performing your present professional duties? (Circle appropriate number for each)

	Yes	No
Conference/Meeting papers	1	2
Journal articles		
Technical reports - In-house	1	2
Technical reports - DoD	1	2
Technical reports - NASA	1	2

27. In terms of performing your present professional duties, how important is each of the following information sources? (Circle appropriate number for each)

	Not at all Important				Very Important
Conference/Meeting papers	1	2	3	4	5
Journal articles	1	2	3	4	5
Technical reports - In-house	1	2	3	4	5
Technical reports - DoD	1	2	3	4	5
Technical reports - NASA		2	3	4	5

28. If you were deciding whether or not to use conference/meeting papers in your work, how important would the following factors be? (Circle appropriate number)

	Not at all Important				Very Important
Are easy to physically obtain	1	2	3	4	5
Are easy to use or read	1	2	3	4	5
Are inexpensive	1	2	3	4	5
Have good technical quality	1	2	3	4	5
Have comprehensive data and information		2	3	4	5
Are relevant to my work	1	2	3	4	5
Can be obtained at a nearby location or source	1	2	3	4	5
Had good prior experience using them	1	2	3	4	5

<sup>\*</sup>in-house = LaRC-authored technical reports

29. If you were deciding whether or not to use journal articles in your work, how important would the following factors be? (Circle appropriate number)

Not at all Important				Very Important
Are easy to physically obtain	2	3	4	5
Are easy to use or read	2	3	4	5
Are inexpensive	2	3	4	5
Have good technical quality	2	3	4	5
Have comprehensive data and information	2	3	4	5
Are relevant to my work	2	3	4	5
Can be obtained at a nearby location or source 1	2	3	4	5
Had good prior experience using them	2	3	4	5

30. If you were deciding whether or not to use in-house technical reports in your work, how important would the following factors be? (Circle appropriate number)

Not at a Importa	_			Very Important
Are easy to physically obtain	2	3	4	5
Are easy to use or read	2	3	• 4	5
Are inexpensive	2	3	4	5
Have good technical quality	2	3	4	5
Have comprehensive data and information	2	3	4	5
Are relevant to my work	2	3	4	5
Can be obtained at a nearby location or source	2	3	4	5
Had good prior experience using them	2	3	4	5

31. If you were deciding whether or not to use **DoD technical reports** in your work, how important would the following factors be? (Circle appropriate number)

	Not at all Important				Very Important
Are easy to physically obtain	1	2	3	4	5
Are easy to use or read	1	2	3	4	5
Are inexpensive	1	2	3	4	5
Have good technical quality		2	3	4	5
Have comprehensive data and information		2	3	4	5
Are relevant to my work		2	3、	4	5
Can be obtained at a nearby location or source		2	3	4	5
Had good prior experience using them		2	3	4	5

32. If you were deciding whether or not to use NASA technical reports in your work, how important would the following factors be? (Circle appropriate number)

	Not at all Important			I	Very mportant
Are easy to physically obtain	1	2	3	4	5
Are easy to use or read	1	2	3	4	5
Are inexpensive		2	3	4	5
Have good technical quality	1	2	3	4	5
Have comprehensive data and information		2	3	4	5
Are relevant to my work	1	2	3	4	5
Can be obtained at a nearby location or source		2	3	4	5
Had good prior experience using them		2	3	4	5

33. (Even if you don't use them...) What is your opinion of conference or meeting papers? (Circle Number)

1	2	3	4	5	They are difficult to physically obtain
1	2	3	4	5	They are difficult to use or read
1	2	3	4	5	They are expensive
1	2	3	4	5	They are of poor technical quality
					They have incomplete data
1	2	3	4	5	and information
1	2	3	4	5	They are irrelevant to my work
					They must be obtained from a
1	2	3	4	5	distant location or source
					I've had bad prior experiences
1	2	3	4	5	using them
	1 1 1 1 1 1	1 2 1 2 1 2 1 2 1 2	1 2 3 1 2 3 1 2 3 1 2 3 1 2 3 1 2 3	1 2 3 4 1 2 3 4	1 2 3 4 5 1 2 3 4 5

34. (Even if you don't use them...) What is your opinion of journal articles? (Circle Number)

They are easy to physically obtain	1	2	3	4	5	They are difficult to physically obtain
They are easy to use or read	1	2	3	4	5	They are difficult to use or read
They are inexpensive	1	2	3	4	5	They are expensive
They are of good technical quality	1	2	3	4	5	They are of poor technical quality
They have comprehensive data						They have incomplete data
and information	1	2	3	4	5	and information
They are relevant to my work	1	2	3	4	5	They are irrelevant to my work
They can be obtained at a						They must be obtained from a
nearby location or source	1	2	3	4	5	distant location or source
I've had good prior experiences						I've had bad prior experiences
using them	1	2	3	4	5	using them

35. (Even if you don't use them...) What is your opinion of in-house technical reports? (Circle Number)

They are easy to physically obtain	1	2	3	4	5	They are difficult to physically obtain
They are easy to use or read	1	2	3	4	5	They are difficult to use or read
They are inexpensive	1	2	3	4	5	They are expensive
They are of good technical quality	1	2	.3	4	5	They are of poor technical quality
They have comprehensive data						They have incomplete data
and information	1	2	3	4	5	and information
They are relevant to my work	1	2	3	4	5	They are irrelevant to my work
They can be obtained at a						They must be obtained from a
nearby location or source	1	2	3	4	5	distant location or source
I've had good prior experiences						I've had bad prior experiences
using them	1	2	3	4	5	using them

36. (Even if you don't use them...) What is your opinion of DoD technical reports? (Circle Number)

They are easy to physically obtain	1	2	3	4	5	They are difficult to physically obtain
They are easy to use or read	1	2	3	4	5	They are difficult to use or read
They are inexpensive	1	2	3	4	5	They are expensive
They are of good technical quality	1	2	3	4	5	They are of poor technical quality
They have comprehensive data						They have incomplete data
and information	1	2	3	4	5	and information
They are relevant to my work	1	2	3	4	5	They are irrelevant to my work
They can be obtained at a						They must be obtained from a
nearby location or source	1	2	3	4	5	distant location or source
I've had good prior experiences						I've had bad prior experiences
using them	1	2	3	4	5	using them
_						

37. (Even if you don't use them...) What is your opinion of NASA technical reports? (Circle Number)

They are easy to physically obtain	1	2	3	4	5	They are difficult to physically obtain
They are easy to use or read	1	2	3	4	5	They are difficult to use or read
They are inexpensive	1	2	3	4	5	They are expensive
They are of good technical quality	1	2	3	4	5	They are of poor technical quality
They have comprehensive data						They have incomplete data
and information	1	2	3	4	5	and information
They are relevant to my work	1	2	3	4	5	They are irrelevant to my work
They can be obtained at a						They must be obtained from a
nearby location or source	1	2	3	4	5	distant location or source
I've had good prior experiences						I've had bad prior experiences
using them	1	2	3	4	5	using them

## Next, we would like to know about the work you do.

1 Research (either basic or applied) 2 Design/Development 3 Manufacturing/Production 4 Quality Assurance/Control 5 Computer Applications 6 Management (e.g., planning, budgeting, and managing research) 7 Other (specify):  39. How would you describe the overall complexity of the technical project, task, or problem you cate; in Question 38? (Circle ONE number)  Very Simple 1 2 3 4 5 Very Complex  40. How would you rate the amount of technical uncertainty that you faced when you started the te project, task, or problem categorized in Question 38? (Circle ONE number)  Little Uncertainty 1 2 3 4 5 Great Uncertainty  41. While you were involved in this technical project, task, or problem, did you work alone or with one of the following best describes the kinds of duties you performed while working on the te project, task, or problem categorized in Question 38? (Circle ONE number)  1 Alone 2 With others	Think of the most important job-related project, task, or problem you have worked on in the past 6 months.  Which category best describes this work? (Circle only ONE number)								
2 Design/Development 3 Manufacturing/Production 4 Quality Assurance/Control 5 Computer Applications 6 Management (e.g., planning, budgeting, and managing research) 7 Other (specify):  39. How would you describe the overall complexity of the technical project, task, or problem you cate in Question 38? (Circle ONE number)  Very Simple 1 2 3 4 5 Very Complex  40. How would you rate the amount of technical uncertainty that you faced when you started the te project, task, or problem categorized in Question 38? (Circle ONE number)  Little Uncertainty 1 2 3 4 5 Great Uncertainty  41. While you were involved in this technical project, task, or problem, did you work alone or with out 1 Alone 2 With others — In how many groups did you work?  About how many people were in each group?  42. Which one of the following best describes the kinds of duties you performed while working on the te project, task, or problem categorized in Question 38? (Circle ONE number)  1 Engineering 2 Science 3 Management 4 Other (specify):  43. What steps did you follow to get the information you needed for this project, task, or problem? [Please sequence these items (e.g., #1, #2, #3) and put an X beside the steps you did not use.]  Used my personal store of technical information, including sources I keep in my offi Spoke with coworkers or people inside my organization  Spoke with a librarian or technical information specialist  Searched (or had someone search for me) an electronic (bibliographic) data base in the Used literature resources (e.g., technical reports) found in my organization's library									
3 Manufacturing/Production 4 Quality Assurance/Control 5 Computer Applications 6 Management (e.g., planning, budgeting, and managing research) 7 Other (specify):									
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Management  Other (specify):  What steps did you follow to get the information you needed for this project, task, or problem?  [Please sequence these items (e.g., #1, #2, #3) and put an X beside the steps you did not use.]  Used my personal store of technical information, including sources I keep in my offi  Spoke with coworkers or people inside my organization  Spoke with colleagues outside my organization  Spoke with a librarian or technical information specialist  Searched (or had someone search for me) an electronic (bibliographic) data base in the  Used literature resources (e.g., technical reports) found in my organization's library									
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Searched (or had someone search for me) an electronic (bibliographic) data base in the Used literature resources (e.g., technical reports) found in my organization's library									
Used literature resources (e.g., technical reports) found in my organization's library									
Used none of the above steps	library								
Used none of the above steps									

44.	Do you USE the results of federally-funded aerospace R&D in your work? (Circle ONE number)								
	1	Yes	2	No					
45.	Did you USE the results of federally-funded aerospace R&D in completing the technical project, task, or problem you categorized in Question 38? (Circle ONE number)								
	1	Yes	2	No —		→ Go to	question	n 50	
46.	How important were the results of federally-funded R&D in completing the technical project, task, or problem you categorized in Question 38? (Circle ONE number)								
	Not at	all important	1	2	3	4	5	Very Important	
47.	Were a	ny of these resu	its publis	hed in ei	ther a N	IASA or	DoD tecl	nnical report? (Circle ONE number)	
	1	Yes	2	No					
48.	From w R&D y	rhich of the follor ou used in comp	wing sour leting the	ces did y technica	ou leam Il projec	t, task, or	problem	esults of the federally-funded aerospace? (Circle appropriate number for each)	
						Yes	No		
		cers inside my o					2		
	Colleag	ues outside my	organizat	ion		1	2		
		and DoD contac					2		
	Publica	tions such as NA	ASA <i>STA</i>	<i>R</i>		1	2		
		and DoD sponse					_		
		ored conferences					2		
		and DoD techni					2		
		ional and society					2		
		ans inside my or	_				2		
		ournals					2		
		es of computeriz					2		
		ional and society	_				2		
	Visits t	o NASA and Do	oD tacilit	ies		1	2		
49.	Which,	if any, of the fol	llowing p	roblems	were ass	ociated w	ith using	these results? (Check ALL that apply)	
		The time a	and effort	it took	to locate	the resu	its		
		The time a						sults	
	The accuracy, precision, and reliability of the results								
		The legibi							
		The organ							
		The distrib	oution lim	itations	or secur	ity restri	ctions of	the results	
								Over Please	

50.	Gende	er:							
	1	Male	2	Fema	le				
51.	Please indicate the highest college degree you hold.								
	1	No college degree	4	Doct	prate				
	2 3	Bachelor's Master's	5	Othe	(specify):				
52.	Years	of aerospace work experi	ience:		_ years				
53.	Which	n of the following best de	scribes yo	ur prim	ary professional duties? (Circle ONE number)				
	1	Research		6	Service/Maintenance				
	2	Administration/Manage	ement	7	Marketing/Sales				
	3	Quality Assurance/Con			Private Consultant				
	4	Design/Development	iuoi	9	Other (specify):				
	5	Manufacturing/Product	ion	,	Outer (specify).				
54.	Was y	our academic preparation	as an: (	Circle O	NE number)				
	1	Engineer							
	2	Scientist							
	3	Other (specify):							
55.	In you	ur present job, do you con	sider you	rself pri	narily an: (Circle ONE number)				
	1	Engineer							
	2	Scientist							
	3	Other (specify):							
56.	Is any	of your current work fun	ded by th	e federa	government? (Circle ONE number)				
	1	Yes 2 No	3	Don't	know				

Survey Demographics

## THANK YOU!

Mail to:

NASA/DoD Aerospace Knowledge Diffusion Research Project
NASA Langley Research Center
Mail Stop 180A
Hampton, VA 23681-0001

REPORT		OMB No. 0704-0188					
gathering and maintaining the data needed, and collection of information, including suggestions Davis Highway, Suite 1204, Arlington, VA 22202	d completing and reviewing the collection of in for reducing this burden, to Washington Head -4302, and to the Office of Management and	nformation. Send comments regal quarters Services, Directorate for Budget, Paperwork Reduction Pro					
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*Report number 36 under the NASA/DoD Aerospace Knowledge Diffusion Research Project. Thomas E. Pinelli: Langley Research Center, Hampton, VA; Rebecca O. Barclay: Electronic Information Age, Inc., Portsmouth, VA; John M. Kennedy: Indiana University, Bloomington, IN.							
12a. DISTRIBUTION/AVAILABILITY ST	ATEMENT	12	b. DISTRIBUTION CODE				
Unclassified–Unlimited Subject Category 82							
3. ABSTRACT (Maximum 200 words)  The U.S. government technical report is a primary means by which the results of federally funded research and development (R&D) are transferred to the U.S. aerospace industry. However, little is known about this information product in terms of its actual use, importance, and value in the transfer of federally funded R&D. To help establish a body of knowledge, the U.S. government technical report is being investigated as part of the NASA/DoD Aerospace Knowledge Diffusion Research Project. In this report, we summarize the literature on technical reports and provide a model that depicts the transfer of federally funded aerospace R&D via the U.S. government technical report. We present results from our investigation of aerospace knowledge diffusion vis-\(\frac{a}{2}\)-vis the U.S. government technical report, and present the results of research that investigated aerospace knowledge diffusion vis-\(\frac{a}{2}\)-vis the technical communications practices of U.S. aerospace engineers and scientists who were assigned to the Research and Technology Group (RTG) at the NASA Langley Research Center in September 1995.							
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